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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 112800.401

First Inventor or Application Identifier Campbell et al.

Title Quiescent Cell Populations for Nuclear Transfer

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 37]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ☐
4. Oath or Declaration [Total Pages ☐- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ \* Small Entity Statement(s) ☒ Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☒ Other: copy of Small Entity Declaration  
copy of Assignment

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior application No: 08,180,228

Prior application information: Examiner un assigned

Group / Art Unit: 1815

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

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Registration No. (Attorney/Agent)

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Signature

G.M. Villacorta

Date

1/4/99

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Applicant or Patentee: Roslin Institute (Edin.) Attorney's Docket No.: 2980-004  
Application or Patent No.: 08/802,282  
Filed or Issued: February 19, 1997  
Title: QUIESCENT CELL POPULATIONS FOR NUCLEAR TRANSFER

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37) CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: Roslin Institute (Edinburgh)

ADDRESS OF ORGANIZATION: Roslin, Midlothian EH25 9PS, Great Britain

TYPE OF ORGANIZATION:

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) AND 501(c)(3))  
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
(Name of State: \_\_\_\_\_)  
(Citation of Statute: \_\_\_\_\_)  
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) AND 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA  
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA  
(Name of State: \_\_\_\_\_)  
(Citation of Statute: \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled QUIESCENT CELL POPULATIONS FOR NUCLEAR TRANSFER by inventor(s) Keith Henry Stockman Campbell and Ian Wilmut described in

- ☐ the specification filed herewith  
☒ application Serial No. 08/802,282, filed February 19, 1997.  
☐ patent no. . issued .

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

The rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or by a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
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I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: J. W. WITHERS  
TITLE IN ORGANIZATION: Company Secretary  
ADDRESS OF PERSON SIGNING: Reslin Institute (GAINSBURG) Reslin Midlothian  
SIGNATURE: [Signature]  
DATE: 23/5/92

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of :  
: CAMPBELL et al. :  
: Serial No. : Group Art Unit:  
: Filed: January 4, 1999 : Examiner:  
: For: QUIESCENT CELL POPULATIONS FOR NUCLEAR TRANSFER

PRELIMINARY AMENDMENT

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

Preliminary to examination of the above-referenced application, please amend the application as follows:

IN THE SPECIFICATION

Please amend the specification as follows:

Page 1, after Reference to Related Applications please change

“This Application is a continuation of International Application No. PCT/GB96/02099, whose international filing date is August 30, 1996, which in turn claims the benefit of U.K. Application No. GB 9517780.4 filed August 31, 1995, the disclosures of which Applications are incorporated herein by reference herein. The benefit of the filing and priority dates of the International and U.K. Applications is respectfully requested.” to

-- This application is a divisional application of US Application No. 08/802,282 filed February 19, 1997 which is a continuation of International Application No. PCT/GB96/02099, whose international filing date is August 30, 1996, which in turn claims the benefit of U.K. Application No. GB 9517780.4 filed August 31, 1995, the disclosures of which are all incorporated herein by reference. --

### IN THE CLAIMS

Please amend the claims as follows:

Claim 4, line 1, please delete "in any one of claims 1 to 3" and insert --in claim 1--

Claim 8, line 1, please delete "in any one of claims 1 to 7" and insert --in claim 1--

Claim 9, line 1, please delete "in any one of claims 1 to 7" and insert --in claim 1--

Claim 10, line 1, please delete "in any one of claims 1 to 7" and insert --in claim 1--

Claim 18, lines 1 and 2, please delete "in any one of claims 1 to 13" and insert --in claim 11--

Claim 19, line 2, please delete "in any one of claims 14 to 17" and insert --in claim 14--

### AUTHORIZATION


The Commissioner is hereby authorized to charge any additional fees which may be required for this Amendment, or credit any overpayment to deposit account no. 50-0436.

In the event that an extension of time is required, or which may be required in addition to that requested in a petition for an extension of time, the Commissioner is requested to grant a petition for that extension of time which is required to make this response timely and is hereby authorized

to charge any fee for such an extension of time or credit any overpayment for an extension of time  
to deposit account no. 50-0436.

Respectfully Submitted,

PEPPER HAMILTON LP

  
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QUIESCENT CELL POPULATIONS FOR NUCLEAR TRANSFER

5 This invention relates to the generation of animals including but not being limited to genetically selected and/or modified animals.

10 The reconstruction of mammalian embryos by the transfer of a nucleus from a donor embryo to an enucleated oocyte or one cell zygote allows the production of genetically identical individuals. This has clear advantages for both research (i.e. as biological controls) and also in commercial applications (i.e. multiplication of genetically valuable livestock, uniformity of meat products, animal management). One problem with the use of early embryos as nuclear donors is that the number of offspring which can be produced from a single embryo is limited both by the number of cells (embryos at the 32-64 cell stage are the most widely used in farm animal species) and the efficiency of the nuclear transfer protocol.

20 In contrast to the use of embryos as nuclear donors, the ability to produce live offspring by nuclear transfer from cells which can be maintained in culture is an objective which have been sought for some time by animal breeders. The ability to produce cloned offspring from a cultured cell line would offer a large number of advantages over the use of early embryos. These include: the production of large numbers of identical offspring over a long time period (cultured cells can be frozen and stored) and the ability genetically to modify and/or select cell populations of the required genotype (e.g. sex) prior to embryo reconstruction. One potential cell type for use in these procedures is the Embryonic Stem

(ES) cell. ES cells have been isolated in the mouse, however as yet there are no reports of development to term following their use in nuclear transfer. At the present time there is a single report of ES like cells in  
 5 pig which have contributed to development following injection into the blastocoele cavity of *in vivo*-produced blastocysts (Wheeler, *Reprod. Fertil. Dev.* 6 563-568 (1994)) but no reports of chimerism in other farm livestock species and no reports of development to term  
 10 following nuclear transfer in any mammalian species from any established cell line.

There are several alternatives to the use of ES cell lines; one of these is to search for other cell  
 15 populations which are able to promote development when used for nuclear transfer. Several reports have suggested that Primordial Germ Cells offer a suitable candidate; however no development to term has yet been reported. Cell lines established from early embryos have  
 20 been suggested; although development has been reported from early passage cells in the sheep (Campbell et al., *Therio* 43 181 (1995)) on prolonged culture, no development was obtained using conventional nuclear transfer protocols (Campbell et al., *J. Abstract Series*  
 25 (5) 31 (1995)).

In order to obtain development to term after nuclear transfer the developmental clock of the transferred nucleus must be reset. For this to occur transcription  
 30 must be arrested and then restarted in a developmentally regulated pattern. Previous reports have shown that development to the blastocyst stage can be obtained from a wide range of cell types in the cow, sheep, pig, rabbit and mouse. However, in all of these reports no



development to term has been reported. The birth of live lambs following nuclear transfer from primary cell lines (up to and including passage 3) which were established from the embryonic disc (ED) of day 9 ovine embryos has previously been reported (Campbell et al., *Therio* **43** 181 (1995)). However, on subsequent culture no development to term was obtained using conventional nuclear transfer protocols (at passage 6 and 11) (Campbell et al., *J. Reprod. Fertil. Abstract Series* (5) 31 (1995)). These results can be interpreted in a number of ways; firstly it can be postulated that all of the ED derived cells obtained during early periods of culture are able to promote development. However, on prolonged culture during establishment of a cultured cell line these cells change and are thus unable to control development when used as nuclear donors for nuclear transfer into the "Universal Recipient" referred to in the above papers. Alternatively it may be postulated that during the early culture period a sub-population of cells retains the ability to promote development and that this would explain the production of live offspring following nuclear transfer during these early passages. Previous studies have emphasised the role of cell cycle co-ordination of the donor nucleus and the recipient cytoplasm in the development of embryos reconstructed by nuclear transfer (Campbell et al., *Biol. Reprod.* **49** 933-942 (1993) and *Biol. Reprod.* **50** 1385-1393 (1994)).

Two possible alternative strategies to that of relying on the isolation of a cell line which is totipotent for nuclear transfer using published nuclear transfer protocols are:

- (1) to modify existing nuclear transfer procedures;  
or
- (2) to modify the chromatin of the donor cell prior  
to nuclear transfer.

5

A totipotent cell can direct the development of a whole animal (when constructing embryos by nuclear transfer from a donor cell into a recipient cell, such as an enucleated oocyte, it is the nucleus of the donor cell which is totipotent). This includes directing the development of extra-embryonic lineages, i.e. the placenta. In this definition, a fertilised zygote and in some species individual blastomeres are also totipotent. In contradistinction, a pluripotent or multipotent cell (i.e. an embryonic stem cell) type has been defined as one which can form all tissues in the conceptus/offspring after injection into the blastocoele cavity.

In both the nuclear transfer strategies (1) and (2) outlined above, a method is required which will allow the reprogramming of gene expression of the transferred nucleus: such a method would then allow the use of differentiated or partially differentiated cells as nuclear donors and would "bring out" their inherent totipotency.

It has now been found that quiescent cells, that is to say cells which are not actively proliferating by means of the cell cycle, can advantageously be used as nuclear donors in the reconstitution of an animal embryo. Such embryos may then be allowed to develop to term. It seems that changes in the donor nucleus which are observed after embryo reconstruction and which are required for efficient nuclear transfer can be induced in the nuclei

of cells prior to their use as nuclear donors by causing them to enter the quiescent state. This fact has been exploited in the present application.

5 According to a first aspect of the present invention, there is provided a method of reconstituting an animal embryo, the method comprising transferring the nucleus of a quiescent donor cell into a suitable recipient cell.

10 In principle, the invention is applicable to all animals, including birds, such as domestic fowl, amphibian species and fish species. In practice, however, it will be to non-human animals, especially (non-human) mammals, particularly placental mammals, that the greatest  
15 commercially useful applicability is presently envisaged. It is with ungulates, particularly economically important ungulates such as cattle, sheep, goats, water buffalo, camels and pigs that the invention is likely to be most useful, both as a means for cloning animals and as a  
20 means for generating transgenic or genetically modified animals. It should also be noted that the invention is also likely to be applicable to other economically important animal species such as, for example, horses, llamas or rodents e.g. rats or mice, or rabbits.

25 The invention is equally applicable in the production of transgenic, as well as non-transgenic animals. Transgenic animals may be produced from genetically altered donor cells. The overall procedure has a number of advantages  
30 over conventional procedures for the production of transgenic (i.e. genetically modified) animals which may be summarised as follows:

- (1) fewer recipients will be required;
- (2) multiple syngeneic founders may be generated using clonal donor cells;
- (3) subtle genetic alteration by gene targeting is permitted;
- (4) all animals produced from embryos prepared by the invention should transmit the relevant genetic modification through the germ line as each animal is derived from a single nucleus; in contrast, production of transgenic animals by pronuclear injection or chimerism after inclusion of modified stem cell populations by blastocyst injection, or other procedures, produces a proportion of mosaic animals in which all cells do not contain the modification and the resultant animal may not transmit the modification through the germ line; and
- (5) cells can be selected for the site of genetic modification (e.g. integration) prior to the generation of the whole animal.

It should be noted that the term "transgenic", in relation to animals, should not be taken to be limited to referring to animals containing in their germ line one or more genes from another species, although many transgenic animals will contain such a gene or genes. Rather, the term refers more broadly to any animal whose germ line has been the subject of technical intervention by recombinant DNA technology. So, for example, an animal in whose germ line an endogenous gene has been deleted, duplicated, activated or modified is a transgenic animal for the purposes of this invention as much as an animal to whose germ line an exogenous DNA sequence has been added.

In embodiments of the invention in which the animal is transgenic, the donor nucleus is genetically modified. The donor nucleus may contain one or more transgenes and the genetic modification may take place prior to nuclear transfer and embryo reconstitution. Although micro-injection, analogous to injection into the male or female pronucleus of a zygote, may be used as a method of genetic modification, the invention is not limited to that methodology: mass transformation or transfection techniques can also be used e.g. electroporation, viral transfection or lipofection.

In the method of the invention described above, a nucleus is transferred from a quiescent donor cell to a recipient cell. The use of this method is not restricted to a particular donor cell type. All cells of normal karyotype, including embryonic, foetal and adult somatic cells, which can be induced to enter quiescence or exist in a quiescent state *in vivo* may prove totipotent using this technology. The invention therefore contemplates the use of an at least partially differentiated cell, including a fully differentiated cell. Donor cells may be, but do not have to be, in culture. Cultured bovine primary fibroblasts, an embryo-derived ovine cell line (TNT4), an ovine mammary epithelial cell derived cell line (OME) from a 6 year old adult sheep, a fibroblast cell line derived from foetal ovine tissue (BLWF1) and an epithelial-like cell line derived from a 9-day old sheep embryo (SEC1) are exemplified below. A class of embryo-derived cell lines useful in the invention which includes the TNT4 cell line are also the subject of co-pending PCT Patent Application No. PCT/GB95/02095, published as WO96/07732.

To be useful in the invention, donor cells are quiescent, which is to say that they are not actively proliferating by means of the mitotic cell cycle. The mitotic cell cycle has four distinct phases, G1, S, G2 and M. The beginning event in the cell cycle, called *start*, takes place in the G1 phase and has a unique function. The decision or commitment to undergo another cell cycle is made at *start*. Once a cell has passed through *start*, it passes through the remainder of the G1 phase, which is the pre-DNA synthesis phase. The second stage, the S phase, is when DNA synthesis takes place. This is followed by the G2 phase, which is the period between DNA synthesis and mitosis. Mitosis itself occurs at the M phase. Quiescent cells (which include cells in which quiescence has been induced as well as those cells which are naturally quiescent, such as certain fully differentiated cells) are generally regarded as not being in any of these four phases of the cycle; they are usually described as being in a G0 state, so as to indicate that they would not normally progress through the cycle. The nuclei of quiescent G0 cells have a diploid DNA content.

Cultured cells can be induced to enter the quiescent state by various methods including chemical treatments, nutrient deprivation, growth inhibition or manipulation of gene expression. Presently the reduction of serum levels in the culture medium has been used successfully to induce quiescence in both ovine and bovine cell lines. In this situation, the cells exit the growth cycle during the G1 phase and arrest, as explained above, in the so-called G0 stage. Such cells can remain in this state for several days (possibly longer depending upon the cell) until re-stimulated when they re-enter the growth cycle.

Quiescent cells arrested in the G0 state are diploid. The G0 state is the point in the cell cycle from which cells are able to differentiate. On quiescence a number of metabolic changes have been reported and these  
 5 include: monophosphorylated histones, ciliated centrioles, reduction or complete cessation in all protein synthesis, increased proteolysis, decrease in transcription and increased turnover of RNA resulting in  
 10 a reduction in total cell RNA, disaggregation of polyribosomes, accumulation of inactive 80S ribosomes and chromatin condensation (reviewed Whitfield et al., *Control of Animal Cell Proliferation*, 1 331-365 (1985)).

Many of these features are those which are required to  
 15 occur following transfer of a nucleus to an enucleated oocyte. The fact that the G0 state is associated with cell differentiation suggests that this may provide a nuclear/chromatin structure which is more amenable to either remodelling and/or reprogramming by the recipient  
 20 cell cytoplasm. In this way, by virtue of the nuclear donor cells being in the quiescent state, the chromatin of the nuclei of the donors may be modified before embryo reconstitution or reconstruction such that the nuclei are  
 25 able to direct development. This differs from all previously reported methods of nuclear transfer in that the chromatin of donor cells is modified prior to the use of the cells as nuclear donors.

The recipient cell to which the nucleus from the donor  
 30 cell is transferred may be an oocyte or another suitable cell.

Recipient cells at a variety of different stages of development may be used, from oocytes at metaphase I

through metaphase II, to zygotes and two-cell embryos. Each has its advantages and disadvantages. The use of fertilized eggs ensures efficient activation whereas parthenogenetic activation is required with oocytes (see below). Another mechanism that may favour the use of cleavage-stage embryos in some species is the extent to which reprogramming of gene expression is required. Transcription is initiated during the second cell cycle in the mouse and no major changes in the nature of the proteins being synthesised are revealed by two-dimensional electrophoresis until the blastocyst stage (Howlett & Bolton *J. Embryol. Exp. Morphol.* **87** 175-206 (1985)). In most cases, though, the recipient cells will be oocytes.

It is preferred that the recipient be enucleate. While it has been generally assumed that enucleation of recipient oocytes in nuclear transfer procedures is essential, there is no published experimental confirmation of this judgement. The original procedure described for ungulates involved splitting the cell into two halves, one of which was likely to be enucleated (Willadsen *Nature* **320** (6) 63-65 (1986)). This procedure has the disadvantage that the other unknown half will still have the metaphase apparatus and that the reduction in volume of the cytoplasm is believed to accelerate the pattern of differentiation of the new embryo (Eviskov *et al.*, *Development* **109** 322-328 (1990)).

More recently, different procedures have been used in attempts to remove the chromosomes with a minimum of cytoplasm. Aspiration of the first polar body and neighbouring cytoplasm was found to remove the metaphase II apparatus in 67% of sheep oocytes (Smith & Wilmut



*Biol. Reprod.* **40** 1027-1035 (1989)). Only with the use of DNA-specific fluorochrome (Hoechst 33342) was a method provided by which enucleation would be guaranteed with the minimum reduction in cytoplasmic volume (Tsunoda et al., *J. Reprod. Fertil.* **82** 173 (1988)). In livestock species, this is probably the method of routine use at present (Prather & First *J. Reprod. Fertil. Suppl.* **41** 125 (1990), Westhusin et al., *Biol. Reprod. (Suppl.)* **42** 176 (1990)).

There have been very few reports of non-invasive approaches to enucleation in mammals, whereas in amphibians, irradiation with ultraviolet light is used as a routine procedure (Gurdon *Q. J. Microsc. Soc.* **101** 299-311 (1960)). There are no detailed reports of the use of this approach in mammals, although during the use of DNA-specific fluorochrome it was noted that exposure of mouse oocytes to ultraviolet light for more than 30 seconds reduced the developmental potential of the cell (Tsunoda et al., *J. Reprod. Fertil.* **82** 173 (1988)).

It is preferred that recipient host cells to which the donor cell nucleus is transferred is an enucleated metaphase II oocyte, an enucleated unactivated oocyte or an enucleated preactivated oocyte. At least where the recipient is an enucleated metaphase II oocyte, activation may take place at the time of transfer. Alternatively, at least where the recipient is an enucleated unactivated metaphase II oocyte, activation may take place subsequently. As described above enucleation may be achieved physically, by actual removal of the nucleus, pro-nuclei or metaphase plate (depending on the recipient cell), or functionally, such as by the application of ultraviolet radiation or another enucleating influence.

Three suitable cytoplasm (enucleated oocyte) recipients are:

1. The "MAGIC Recipient" (Metaphase Arrested G1/G0  
AcceptIng Cytoplasm) described in our co-pending PCT  
patent application No. PCT/GB96/02098 filed today  
(claiming priority from GB 9517779.6).
2. The "GOAT" (G0/G1 Activation and Transfer) - a MII  
(metaphase II) oocyte at the time of activation  
(Campbell et al., *Biol. Reprod.* **49** 933-942 (1993)).
3. The "Universal Recipient" (Campbell et al., *Biol.*  
*Reprod.* **649** 933-942 (1993), *Biol. Reprod.* **50** 1385-  
1393 (1994)).

All three of these recipients would result in normal  
ploidy when using donor nuclei in G0 in the reconstructed  
embryo. However, recent reports have suggested that a  
proportion of the nuclei from quiescent cells are unable  
to enter the DNA synthetic phase when placed into an S-  
phase cytoplasm without undergoing disassembly of the  
nuclear envelope (Leno & Munshi, *J. Cell Biol.* **127**(1) 5-  
14 (1994)). Therefore, although a proportion of embryos  
will develop when using the "Universal Recipient" it is  
postulated that the use of MII oocytes containing high  
levels of MPF (M-phase promoting factor or maturation-  
promoting factor) as cytoplasm recipients by either  
method 1 or 2 will result in a greater frequency of  
development.

Once suitable donor and recipient cells have been  
identified, it is necessary for the nucleus of the former  
to be transferred to the latter. Most conveniently,  
nuclear transfer is effected by fusion.

Three established methods which have been used to induce fusion are:

- (1) exposure of cells to fusion-promoting chemicals, such as polyethylene glycol;
- (2) the use of inactivated virus, such as Sendai virus; and
- (3) the use of electrical stimulation.

Exposure of cells to fusion-promoting chemicals such as polyethylene glycol or other glycols is a routine procedure for the fusion of somatic cells, but it has not been widely used with embryos. As polyethylene glycol is toxic it is necessary to expose the cells for a minimum period and the need to be able to remove the chemical quickly may necessitate the removal of the zona pellucida (Kanka *et al.*, *Mol. Reprod. Dev.* **29** 110-116 (1991)). In experiments with mouse embryos, inactivated Sendai virus provides an efficient means for the fusion of cells from cleavage-stage embryos (Graham *Wistar Inst. Symp. Monogr.* **9** 19 (1969)), with the additional experimental advantage that activation is not induced. In ungulates, fusion is commonly achieved by the same electrical stimulation that is used to induce parthogenetic activation (Willadsen *Nature* **320** (6) 63-65 (1986), Prather *et al.*, *Biol. Reprod.* **37** 859-866 (1987)). In these species, Sendai virus induces fusion in a proportion of cases, but is not sufficiently reliable for routine application (Willadsen *Nature* **320** (6) 63-65 (1986)).

While cell-cell fusion is a preferred method of effecting nuclear transfer, it is not the only method that can be used. Other suitable techniques include microinjection (Ritchie and Campbell, *J. Reproduction and Fertility Abstract Series No. 15*, p60).

Before or (preferably) after nuclear transfer (or, in some instances at least, concomitantly with it), it is generally necessary to stimulate the recipient cell into development by parthenogenetic activation, at least if the cell is an oocyte. Recent experiments have shown that the requirements for parthogenetic activation are more complicated than had been imagined. It had been assumed that activation is an all-or-none phenomenon and that the large number of treatments able to induce formation of a pronucleus were all causing "activation". However, exposure of rabbit oocytes to repeated electrical pulses revealed that only selection of an appropriate series of pulses and control of the  $\text{Ca}^{2+}$  was able to promote development of diploidized oocytes to mid-gestation (Ozil Development 109 117-127 (1990)). During fertilization there are repeated, transient increases in intracellular calcium concentration (Cutbertson & Cobbold Nature 316 541-542 (1985)) and electrical pulses are believed to cause analogous increases in calcium concentration. There is evidence that the pattern of calcium transients varies with species and it can be anticipated that the optimal pattern of electrical pulses will vary in a similar manner. The interval between pulses in the rabbit is approximately 4 minutes (Ozil Development 109 117-127 (1990)), and in the mouse 10 to 20 minutes (Cutbertson & Cobbold Nature 316 541-542 (1985)), while there are preliminary observations in the cow that the interval is approximately 20 to 30 minutes (Robl et al., in Symposium on Cloning Mammals by Nuclear Transplantation (Seidel ed.), Colorado State University, 24-27 (1992)). In most published experiments activation was induced with a single electrical pulse, but new observations suggest that the proportion of reconstituted embryos that develop is increased by exposure to several

pulses (Collas & Robl *Biol. Reprod.* 43 877-884 (1990)).  
In any individual case, routine adjustments may be made  
to optimise the number of pulses, the field strength and  
duration of the pulses and the calcium concentration of  
the medium.

According to a second aspect of the present invention  
there is provided a reconstituted animal embryo prepared  
by a method as described previously.

According to a third aspect of the present invention  
there is provided a method for preparing an animal, the  
method comprising:

- (a) reconstituting an animal embryo as described  
above; and
- (b) causing an animal to develop to term from the  
embryo; and
- (c) optionally, breeding from the animal so formed.

Step (a) has been described in depth above.

The second step, step (b) in the method of this aspect of  
the invention is to cause an animal to develop to term  
from the embryo. This may be done directly or indirectly.  
In direct development, the reconstituted embryo from step  
(a) is simply allowed to develop without further  
intervention beyond any that may be necessary to allow  
the development to take place. In indirect development,  
however, the embryo may be further manipulated before  
full development takes place. For example, the embryo  
may be split and the cells clonally expanded, for the  
purpose of improving yield.

Alternatively or additionally, it may be possible for increased yields of viable embryos to be achieved by means of the present invention by clonal expansion of donors and/or if use is made of the process of serial (nuclear) transfer. A limitation in the presently achieved rate of blastocyst formation may be due to the fact that a majority of the embryos do not "reprogram" (although an acceptable number do). If this is the case, then the rate may be enhanced as follows. Each embryo that does develop itself can be used as a nuclear donor, such as, for example at the morula or 32-64 cell stage; alternatively, inner cell mass cells can be used at the blastocyst stage. Embryos derived from these subsequent transfers could themselves also be used as potential nuclear donors to further increase efficiency. If these embryos do indeed reflect those which have reprogrammed gene expression and those nuclei are in fact reprogrammed (as seems likely), then each developing embryo may be multiplied in this way by the efficiency of the nuclear transfer process. The degree of enhancement likely to be achieved depends upon the cell type. In sheep, it is readily possible to obtain 55% blastocyst stage embryos by transfer of a single blastomere from a 16 cell embryo to a preactivated "Universal Recipient" oocyte. So it is reasonable to hypothesise that each embryo developed from a single cell could give rise to eight at the 16 cell stage. Although these figures are just a rough guide, it is clear that at later developmental stages the extent of benefit would depend on the efficiency of the process at that stage.

It is also contemplated that a new cell line to act as a source of nuclear donor cells could be produced from embryos formed according to the preceding description or the resulting fetuses or adults.

In certain instances, where there may be restrictions in the development of a reconstructed embryo to term it may be preferable to generate a chimeric animal formed from cells derived from a naturally formed embryo and an embryo reconstructed by nuclear transfer. Such a chimera can be formed by taking a proportion of cells of the natural embryo and a proportion of the cells of the reconstructed embryo at any stage up to the blastocyst stage and forming a new embryo by aggregation or injection. The proportion of cells may be in the ratio of 50:50 or another suitable ratio to achieve the formation of an embryo which develops to term. The presence of normal cells in these circumstances is thought to assist in rescuing the reconstructed embryo and allowing successful development to term and a live birth.

Aside from the issue of yield-improving expediciencies, the reconstituted embryo may be cultured, *in vivo* or *in vitro* to blastocyst.

Experience suggests that embryos derived by nuclear transfer are different from normal embryos and sometimes benefit from or even require culture conditions *in vivo* other than those in which embryos are usually cultured (at least *in vivo*). The reason for this is not known. In routine multiplication of bovine embryos, reconstituted embryos (many of them at once) have been cultured in sheep oviducts for 5 to 6 days (as described by Willadsen, In Mammalian Egg Transfer (Adams, E.E., ed.) 185 CRC Press, Boca Raton, Florida (1982)). In the practice of the present invention, though, in order to protect the embryo it should preferably be embedded in a protective medium such as agar before transfer and then

dissected from the agar after recovery from the temporary recipient. The function of the protective agar or other medium is twofold: first, it acts as a structural aid for the embryo by holding the zona pellucida together; and  
5 secondly it acts as barrier to cells of the recipient animal's immune system. Although this approach increases the proportion of embryos that form blastocysts, there is the disadvantage that a number of embryos may be lost.

10 If *in vitro* conditions are used, those routinely employed in the art are quite acceptable.

At the blastocyst stage, the embryo may be screened for suitability for development to term. Typically, this  
15 will be done where the embryo is transgenic and screening and selection for stable integrants has been carried out. Screening for non-transgenic genetic markers may also be carried out at this stage. However, because the method of the invention allows for screening of donors at an  
20 earlier stage, that will generally be preferred.

After screening, if screening has taken place, the blastocyst embryo is allowed to develop to term. This will generally be *in vivo*. If development up to  
25 blastocyst has taken place *in vitro*, then transfer into the final recipient animal takes place at this stage. If blastocyst development has taken place *in vivo*, although in principle the blastocyst can be allowed to develop to term in the pre-blastocyst host, in practice the  
30 blastocyst will usually be removed from the (temporary) pre-blastocyst recipient and, after dissection from the protective medium, will be transferred to the (permanent) post-blastocyst recipient.



In optional step (c) of this aspect of the invention, animals may be bred from the animal prepared by the preceding steps. In this way, an animal may be used to establish a herd or flock of animals having the desired genetic characteristic(s).

Animals produced by transfer of nuclei from a source of genetically identical cells share the same nucleus, but are not strictly identical as they are derived from different oocytes. The significance of this different origin is not clear, but may affect commercial traits. Recent analyses of the mitochondrial DNA of dairy cattle in the Iowa State University Breeding Herd revealed associated with milk and reproductive performance (Freeman & Beitz, In Symposium on Cloning Mammals by Nuclear Transplantation (Seidel, G. E. Jr., ed.) 17-20, Colorado State University, Colorado (1992)). It remains to be confirmed that similar effects are present throughout the cattle population and to consider whether it is possible or necessary in specific situations to consider the selection of oocytes. In the area of cattle breeding the ability to produce large numbers of embryos from donors of high genetic merit may have considerable potential value in disseminating genetic improvement through the national herd. The scale of application will depend upon the cost of each embryo and the proportion of transferred embryos able to develop to term.

By way of illustration and summary, the following scheme sets out a typical process by which transgenic and non-transgenic animals may be prepared. The process can be regarded as involving seven steps:

- (1) selection and isolation of suitable donor cells, which may include assessment of karyotype, induction of quiescence (arrest in G0) and/or induction of development;
- 5 (2) application of suitable molecular biological techniques for the production of genetically modified cell populations. Such techniques may include gene additions, gene knock-outs, gene knock-ins, and other gene modifications. Optionally, transgenesis, may also be employed by transfection with suitable constructs, with or without selectable markers;
- 10 (3) optionally screen and select modified cell populations or clones for the required genotype/phenotype (i.e. stable integrants);
- 15 (4) induction of quiescence in modified cell population;
- (5) embryo reconstitution by nuclear transfer;
- (6) culture, *in vivo* or *in vitro*, to blastocyst;
- 20 (6a) optionally screen and select for stable integrants - omit if done at (3) - or other desired characteristics;
- (7) transfer if necessary to final recipient.

25 According to a fourth aspect of the invention, there is provided an animal prepared as described above.

Preferred features for each aspect of the invention are as for each other aspect, *mutatis mutandis*.

30

The present invention will now be described by reference to the accompanying Examples which are provided for the purposes of illustration and are not to be construed as being limiting on the present invention.

EXAMPLESExample 1 : Induction of Quiescence in Donor Cells

5 Various methods have been shown to induce quiescence in  
cultured cell lines, including; contact inhibition or  
serum starvation (reviewed Whitfield *et al.*, *Control of*  
*Animal Cell Proliferation*, 1 331-365 (1985)). The method  
10 of induction of quiescence is not thought to be of  
importance, the important step is that the cells exit the  
growth cycle, arrest in a G0 state with a diploid DNA  
content and remain viable. In Examples 3 and 4, serum  
starvation of bovine primary fibroblasts, a bovine cell  
line established from the inner cell mass of day 7 *in*  
*vivo* produced blastocysts, and an embryo derived ovine  
15 cell line (TNT4), was used to induce quiescence and  
arrest the cells in the G0 phase of the cell cycle.  
Serum starvation was similarly used to induce quiescence  
of the donor cells described in Example 5.

20 Example 2 : Isolation of Oocytes and Nuclear Transfer

Oocytes can be obtained by (i) *in vitro* maturation of  
slaughterhouse material, or from transvaginal follicle  
puncture; (ii) *in vivo* maturation and surgically  
recovery; or (iii) any other suitable procedure. All  
25 *in vivo* matured oocytes should be harvested by flushing  
from the oviduct in calcium magnesium free phosphate  
buffered saline (PBS) containing 1.0% foetal calf serum  
(FCS). *In vitro* matured oocytes are harvested and  
transferred to calcium free M2 (Whittingham and Wales  
30 *Aust. J. Biol. Sci.* 22 1065-1068 (1969)) containing 1.0%  
FCS. Oocytes are denuded of cumulus cells and enucleated  
as previously described (Campbell *et al.*, *Biol. Reprod.*  
49 933-942 (1993) and *Biol. Reprod.* 50 1385-1393 (1994))  
with the exception that calcium free medium is used for

all procedures. Fusion procedures are modifications of those previously reported (Campbell et al., 1993, 1994 *loc cit*) and are as described in the relevant section below, alternatively the nucleus may be introduced by injection of the donor cell into the enucleated oocyte (Ritchie & Campbell, *J. Reprod. Fertil. Abstract Series* (5) 60 (1995)). The timing of these events is dependent upon the species, the following two protocols outline the use of *in vivo* matured ovine and *in vitro* matured bovine oocytes.

### Example 3 : Ovine Nuclear Transfer

#### 3.1 Superstimulation of donor ewes and recovery of oocytes

Scottish Blackface ewes were synchronised with progestagen sponges for 14 days (Veramix™, Upjohn, UK) and induced to superovulate with single injections of 3.0mg/day (total 6.0mg) ovine follicle-stimulating hormone (FSH) (Ovagen™, Immuno-chemical Products Ltd, New Zealand) on two successive days. Ovulation was induced with an 8mg single dose of a gonadotropin-releasing hormone analogue (GnRH Receptal™, Hoechst, UK) 24 hours after the second injection of FSH.

Unfertilised metaphase II oocytes were recovered by flushing from the oviduct at 24-29 hours after GnRH injection using Dulbecco's phosphate buffered saline containing 1.0% foetal calf serum (FCS) maintained at 37°C until use.

#### 3.2 Oocyte manipulation

Recovered oocytes were maintained at 37°C, washed in PBS 1.0% FCS and transferred to calcium free M2 medium

containing 10% Foetal Calf Serum (FCS), at 37°C. To remove the chromosomes, (enucleation) oocytes were placed in calcium free M2 containing 10% FCS, 7.5µg/ml cytochalasin B (Sigma) and 5.0µg/ml Hoechst 33342 (Sigma) at 37°C for 20 minutes. A small amount of cytoplasm from directly beneath the 1st polar body was then aspirated using a 20µM glass pipette. Enucleation was confirmed by exposing the aspirated portion of cytoplasm to UV light and checking for the presence of a metaphase plate.

### 3.3 Embryo reconstruction

Groups of 10-20 oocytes were enucleated and placed into 20µl drops of calcium free M2 medium at 37°C 5% CO<sub>2</sub> under mineral oil (SIGMA). Each of the following three protocols (a), (b) and (c) were used for embryo reconstruction.

#### (a) "MAGIC" (Metaphase Arrested G1/G0 Accepting Cytoplast)

As soon as possible after enucleation a single cell was placed into contact with the oocyte by using a glass pipette to transfer the cell through the hole previously made in the zona pellucida. The cytoplast/cell couplet was then transferred into the fusion chamber in 200µl of 0.3M mannitol in distilled water and manually aligned between the electrodes. An AC pulse of 5V was applied for 3 seconds followed by 3 DC pulses of 1.25kV/cm for 80µsecs. The couplets were then washed in calcium free M2, 10% FCS at 37°C and incubated in the same medium under oil at 37°C 5% CO<sub>2</sub>. 30 minutes prior to activation the couplets were transferred to calcium free M2 medium 10% FCS containing 5µM nocodazole. Activation was induced at 32-34 hours post hCG injection as described below. Following activation the reconstructed zygotes were

incubated in medium TC199 (Gibco) 10% FCS at 37°C 5% CO<sub>2</sub> for a further 3 hours. They were then washed 3 times for 5 minutes at 37°C in the same medium without nocodazole and cultured for a further 12-15 hours prior to transfer to temporary recipient ewes.

(b) "GOAT" (G0/G1 Activation and Transfer)

At 32-34 hours post hCG injection a single cell was placed into contact with the enucleated oocyte. The couplet was transferred to the fusion chamber (see below) in 200μl of 0.3M mannitol, 0.1mM MgSO<sub>4</sub>, 0.001mM CaCl<sub>2</sub> in distilled water. Fusion and activation were induced by application of an AC pulse of 3V for 5 seconds followed by 3 DC pulses of 1.25kV/cm for 80μsecs. Couplets were then washed in TC199 10% FCS containing 7.5μg/ml cytochalasin B and incubated in this medium for 1 hour at 37°C 5% CO<sub>2</sub>. Couplets were then washed in TC199 10% FCS and cultured for a further 12-15 hours in TC199 10% FCS at 37°C 5% CO<sub>2</sub>.

(c) "UNIVERSAL RECIPIENT"

Enucleated oocytes were activated (as described below) 32-34 hours post hCG injection and then cultured in TC199 10% FCS at 37°C 5% CO<sub>2</sub> for 4-6 hours. A single cell was then placed into contact with the oocyte and fusion induced as described below. The couplets were then incubated in TC199 10% FCS 7.5μg cytochalasin B for 1 hour at 37°C 5% CO<sub>2</sub>. Couplets were then washed and cultured in TC199 10% FCS at 37°C 5% CO<sub>2</sub> for a further 8-11 hours.

3.4 Fusion and activation

For activation, oocytes were placed between two parallel electrodes in 200μl of 0.3M mannitol, 0.1mM MgSO<sub>4</sub>, 0.001mM

CaCl<sub>2</sub> in distilled water (Willadsen, *Nature* 320 63-65 (1986)). Activation was induced by application of 1 DC pulse of 1.25kV/cm for 80μs. For fusion, manipulated embryos were treated in a similar manner with the addition that the contact surface between the enucleated oocyte and the cell was arranged parallel to the electrodes. Fusion was induced by application of an AC current of 3V for 5 seconds followed by 3 DC pulses of 1.25kV/cm for 80μs.

### 3.5 Embryo culture and assessment (all groups)

After the culture period fused couplets were double embedded in 1% and 1.2% agar (DIFCO) in PBS and transferred to the ligated oviduct of unsynchronised ewes. The couplet is embedded in agar to prevent or reduce immune rejection of the embryo by the recipient ewe and to assist in holding the couplet together. After 6 days recipient ewes were sacrificed and the embryos retrieved by flushing from the oviduct using PBS 10% FCS. Embryos were dissected from the agar chips using 2 needles and development assessed by microscopy. All embryos which had developed to the morula/blastocyst stage were transferred as soon as possible to the uterine horn of synchronised final recipient ewes.

*In vitro* techniques may also be suitable in place of a temporary recipient ewe to achieve development of the embryo to the blastocyst stage.

## Example 4 : Bovine Nuclear Transfer

### 4.1 *In vitro* oocyte maturation

Ovaries were obtained from a local abattoir and maintained at 28 - 32°C during transport to the

laboratory. Cumulus oocyte complexes (COC's) were aspirated from follicles 3 - 10mm in diameter using a hypodermic needle (1.2mm internal diameter) and placed into sterile plastic universal containers. The universal  
 5 containers were placed into a warmed chamber (35°C) and the follicular material allowed to settle for 10 - 15 minutes before pouring off three quarters of the supernatant. The remaining follicular material was diluted with an equal volume of dissection medium (TCM  
 10 199 with Earles salts (Gibco), 75.0mg/l kanamycin, 30.0mM Hepes, pH 7.4, osmolarity 280mOsmols/Kg H<sub>2</sub>O) supplemented with 10% bovine serum, transferred into an 85mm petri dish and searched for COC's under a dissecting microscope. Complexes with at least 2-3 compact layers of  
 15 cumulus cells were selected washed three times in dissection medium and transferred into maturation medium (TC medium 199 with Earles salts (Gibco), 75mg/l kanamycin, 30.0mM Hepes, 7.69mM NaHCO<sub>3</sub>, pH 7.8, osmolarity 280mOsmols/Kg H<sub>2</sub>O) supplemented with 10% bovine serum and  
 20  $1 \times 10^6$  granulosa cells/ml and cultured until required on a rocking table at 39°C in an atmosphere of 5% CO<sub>2</sub> in air.

#### 4.2 Oocyte manipulation

Matured oocytes were stripped of cumulus cells 18 hours  
 25 after the onset of maturation. Denuded oocytes were then washed in calcium free M2 medium containing 10% Foetal Calf Serum (FCS) and maintained in this medium at 37°C. To remove the chromosomes (enucleation) oocytes were placed in calcium free M2 containing 10% FCS, 7.5ug/ml  
 30 cytochalasin B (Sigma) and 5.0ug/ml Hoechst 33342 (Sigma) at 37°C for 20 minutes. A small amount of cytoplasm from directly beneath the 1st polar body was then aspirated using a 20μM glass pipette. Enucleation was confirmed by  
 35 exposing the aspirated portion of cytoplasm to UV light and checking for the presence of a metaphase plate.



#### 4.3 Embryo reconstruction

Enucleated oocytes were then used for each of the three methods of reconstruction (a), (b) and (c) as detailed below.

5

##### (a) "MAGIC" (Metaphase Arrested G1/G0 Accepting Cytoplast)

Enucleated oocytes were maintained in calcium free M2 10% FCS at 39°C as soon as possible after enucleation, a  
 10 single cell was placed into contact with the oocyte by using a glass pipette to transfer the cell through the hole previously made in the zona pellucida. The cytoplast/cell couplet was then transferred into the fusion chamber in 200 $\mu$ l of 0.3M mannitol in distilled  
 15 water. The couplet was manually aligned between the electrodes. An AC pulse of 3V was applied for 5 seconds followed by 3 DC pulses of 1.25kV/cm for 80 $\mu$ secs. The couplets were then washed in calcium free M2, 10% FCS at 37°C and incubated in the same medium under oil at 37°C  
 20 5% CO<sub>2</sub>. 30 minutes prior to activation the couplets were transferred to calcium free M2 medium 10% FCS containing 5 $\mu$ M nocodazole. Activation was induced as described below, following activation the reconstructed zygotes were incubated in medium TC199 10% FCS at 37°C 5% CO<sub>2</sub> for  
 25 a further 3 hours. They were then washed 3 times for 5 minutes at 37°C in the same medium without nocodazole and cultured for a further 12-15 hours prior to transfer to temporary recipient ewes (ewes are a less expensive alternative as a temporary recipient for the  
 30 reconstructed embryo).

##### (b) "GOAT" (G0/G1 Activation and Transfer)

Enucleated oocytes were returned to the maturation medium. At 30 or 42 hours post onset of maturation a

single cell was placed into contact with the enucleated oocyte. The couplet was transferred to the fusion chamber (see below) in 200 $\mu$ l of 0.3M mannitol, 0.1mM MgSO<sub>4</sub>, 0.001mM CaCl<sub>2</sub> in distilled water. Fusion and  
 5 activation were induced by application of an AC pulse of 3V for 5 seconds followed by 3 DC pulses of 1.25kV/cm for 80 $\mu$ secs. Couplets were then washed in TC199 10% FCS and incubated at 37°C 5% CO<sub>2</sub> for 15-20 hours (30hpm group) or 4-8 hours (42hpm group) [The abbreviation "hpm" is  
 10 standard for "hours post-maturation"].

(c) "UNIVERSAL RECIPIENT"

Enucleated oocytes were activated (as described below) 30 or 42 hours post onset of maturation and then cultured in  
 15 TC199 10% FCS at 37°C 5% CO<sub>2</sub> for 8-10 hours (30hpm group) or 4-6 hours (42hpm group). A single cell was then placed into contact with the oocyte and fusion induced as described below. The couplets were then cultured in TC199 10% FCS at 37°C 5% CO<sub>2</sub> for a further 12-16 hours  
 20 (30hpm group) or 4-6 hours (42hpm group).

4.4 Fusion and activation

For activation, oocytes were placed between two parallel electrodes in 200 $\mu$ l of 0.3M mannitol, 0.1mM MgSO<sub>4</sub>, 0.001mM  
 25 CaCl<sub>2</sub> in distilled water (Willadsen, Nature 320 63-65 (1986)). Activation was induced by application of 1 DC pulse of 1.25kV/cm for 80 $\mu$ s. For fusion, manipulated embryos were treated in a similar manner with the addition that the contact surface between the enucleated  
 30 oocyte and the cell was arranged parallel to the electrodes. Fusion was induced by application of an AC current of 3V for 5 seconds followed by 3 DC pulses of 1.25kV/cm for 80 $\mu$ s.

#### 4.5 Embryo culture and assessment (all groups)

After the culture period fused couplets were double embedded in 1% and 1.2% agar (DIFCO) in PBS and transferred to the ligated oviduct of unsynchronised ewes (ewes are a less expensive alternative as a temporary recipient for the reconstructed embryo). The couplet is embedded in agar to prevent or reduce immune rejection of the embryo by the recipient ewe and to assist in holding the couplet together. After 6 days recipient ewes were sacrificed and the embryos retrieved by flushing from the oviduct using PBS 10% FCS. Embryos were dissected from the agar chips using 2 needles and development assessed by microscopy.

*In vitro* techniques may also be suitable in place of a temporary recipient ewe to achieve development of the embryo to the blastocyst stage.

#### Results of Example 3 (ovine cells) and Example 4 (bovine cells)

The present techniques have been applied to both ovine and bovine embryo reconstruction. At the present time blastocyst stage embryos have been obtained in cattle; however, no transfers of these embryos to final recipients have been performed. In sheep 7 recipient ewes became pregnant resulting in the birth of 5 live lambs (2 of which died shortly after birth). The results from these experiments are summarised in Tables 1-3.

Table 1 shows the results of development to blastocyst stage of ovine embryos reconstructed using quiescent TNT4 cell populations and 3 different cytoplasm recipients. Reconstructed embryos were cultured in the ligated oviduct of a temporary recipient ewe until Day 7 after

reconstruction. The results are expressed as the percentage of morula/blastocyst stage embryos in relation to the total number of embryos recovered.

TABLE 1

DATE OF NUCLEAR TRANSFER	PASSAGE NUMBER	NUMBER MORULAE, BLASTOCYSTS/TOTAL NUMBER OF COUPLETS RECOVERED		
		"GOAT"	"MAGIC"	"UNIVERSAL"
17.1.95	6	6/32	4/28	
19.1.95	7	1/26	1/10	
31.1.95	13		0/2	2/14
2.2.95	13	0/11	0/14	
7.2.95	11		1/9	0/9
9.2.95	11	9/29	1/2	
14.2.95	12			6/45
16.2.95	13		3/13	
TOTAL		16/98 (16.3%)	10/78 (12.8%)	8/68 (11.7%)

Table 2 shows the results of induction of pregnancy following transfer of all morula/blastocyst stage reconstructed embryos to the uterine horn of synchronised final recipient blackface ewes. The Table shows the total number of embryos from each group transferred and the frequency of pregnancy in terms of ewes and embryos (in the majority of cases 2 embryos were transferred to each ewe. A single twin pregnancy was established using the "MAGIC" cytoplasm.

TABLE 2

PASSAGE NUMBER	"MAGIC"	"GOAT"	"UNIVERSAL"
P6	4	6	0
P7	1	1	0
P11	2	9	0
P12	0	0	6
P13	3	0	2
TOTAL MOR/BL	10	16	8
TOTAL NUMBER EWES	6	9	4
PREGNANT EWES %	1 (16.7)	5 (55.5)	1 (25.0)
FOETUSES/ TOTAL TRANSFERRED (%)	2/10 (20.0)	5/16 (31.25)	1/8 (12.5)

Table 3 shows the outcome of the pregnancies established following transfer or morula/blastocyst stage embryos to final recipient ewes.

TABLE 3

EWE	Method	Passage	Result
4E468	GOAT	6	LIVE LAMB
4E302	GOAT	7	FOETUS DIED (APPROX 130 DAYS)
4E210	GOAT	11	LIVE LAMB
4E286	GOAT	11	LIVE LAMB (DIED SHORTLY AFTER BIRTH)
4E453	GOAT	11	FOETUS DIED (APPROX 80 DAYS)
4E294	UNIVERSAL	11	LIVE LAMB
4E272	MAGIC	13	LIVE LAMB (DIED SHORTLY AFTER BIRTH)

Example 5: Ovine nuclear transfer and embryo  
reconstruction using OME, BLWF1 and SEC1 cells

5 Nuclear transfer has been conducted using three new cell  
types, designated OME, BLWF1 and SEC1. OME (ovine  
mammary epithelial) cells are an epithelial cell line  
established from a biopsy removed from the mammary gland  
of an adult 6 year old Fin-Dorset ewe, following the  
10 procedure of Finch et al., (*Biochem. Soc. Trans.* 24 369S  
(1996). BLWF1 (Black Welsh Fibroblast) cells are a  
fibroblast cell line obtained by dissection and culture  
of a day 26 Black Welsh foetus obtained following Natural  
Mating of a Black Welsh ewe to a Black Welsh tup. The  
method of isolation of primary foetal fibroblasts is  
15 according to Robertson, E. J., in *Teratocarcinomas and  
embryonic stem cells: A practical approach*, 71-112, IRL  
Press Oxford (1987). SEC1 (Sheep embryonic Cell) are an  
epithelial-like cell line derived from a day 9 embryo  
obtained from a super ovulated and mated Pol-Dorset ewe  
20 to a Pol-Dorset tup. The SEC1 cells are distinct from  
the TNT cells described in co-pending PCT application No.  
PCT/GB95/02095 published as WO 96/07732 for the following  
reasons. Firstly, the morphology of the cells of the two  
cell lines are completely different and secondly, the  
25 methods used to isolate the cell lines were different.  
The SEC1 cell line was established from a single embryo  
whereas the TNT cell lines are derived from groups of  
cells.

30 All cell lines were karyotyped and showed a modal  
chromosome number of 54 (2n). Prior to use as nuclear  
donors for embryo reconstruction, the induction of  
quiescence following the reduction of serum levels to  
0.5% was monitored as previously described (Campbell

et al., *Nature* 380 64-66 (1996)). Preparation of the reconstructed embryos was as described above in the previous examples.

5 Table 4 shows a summary of the development of nuclear transfer embryos reconstructed from different cell types. The table shows the number of embryos reconstructed, development to the blastocyst stage and number of pregnancies for each of the three cell types. All cell  
10 lines were karyotyped prior to their use for embryo reconstruction. These cell lines had a modal number of 54 chromosomes. One to three blastocyst stage embryos were transferred to each synchronised final recipient ewe. Reconstructed embryos which were cultured *in vitro*  
15 were placed into 10 $\mu$ l (4 embryos) drops of SOFM (synthetic oviduct fluid medium) containing 10% human serum and cultured in a humidified atmosphere of 5% O<sub>2</sub>, 5% CO<sub>2</sub> and 90% N<sub>2</sub> at 39°C. Cultured embryos were transferred to fresh medium every two days. SOFM medium  
20 was prepared according to Gardner et al., *Biology of Reproduction* 50 390-400 (1994) and Thompson et al., *Biology of Reproduction* 53 1385-1391 (1995).

Table 5 shows the identification of the recipient ewes  
25 remaining pregnant at 24 June 1996, the cell type used for embryo reconstruction and the expected lambing date. Pregnancies were established by the transfer of 1 to 3 morula/blastocyst stage embryos (on day 7 after reconstruction) to synchronised final recipient ewes.  
30 Details of the numbers reconstructed are shown in Table 4. Abbreviations are: PD = Pol-Dorset, BW = Black Welsh, FD = Fin-Dorset, \* = embryo cultured *in vitro* to the blastocyst stage.

TABLE 4

Cell Type	No. of cytoplasts prepared	No. fused couplets (%)	No. couplets transferred to oviducts ( <i>in vitro</i> cultured)	No. couplets recovered from oviduct (%)	No. Morula / Blastocyst stage (%)	No. pregnancies / No. Blastocyst (%)	No. pregnancies / No. recipient ewes (%)
OME	387	277 (63.8)	277	247 (89.2)	29 (11.7)	1/29 (3.4)	1/13 (7.7)
BLWF1	203	172 (84.7)	143 (24)	124 (86.7)	34 (27.4) 13 (54.2)	4/34 (11.8) 1/6 (16.6)	4/10 (40.0) 1/6 (16.6)
SEC1	465	385 (82.8)	271 (92)	231 (85.3)	90 (39.0) 36 (39.0)	14/72 (19.5) 1/15 (6.6)	14/27 (51.8) 1/5 (20.0)

TABLE 5

I.D. of recipient ewe	Cell line	Outcome of pregnancy	Breed
5E191	SEC1	LIVE LAMB (MALE)	PD
5E17	SEC1	LIVE LAMB (MALE)	PD
5E134	SEC1	DEAD LAMB (MALE)	PD
9M399	SEC1	LIVE LAMB (MALE)	PD
5E524	SEC1	LIVE LAMB (MALE)	PD
5E139	BLWF1	LIVE LAMB (MALE)	BW
5E328	BLWF1	LIVE LAMB (MALE)	BW
5E169	BLWF1	LIVE LAMB (MALE) died at birth	BW
5E475	OME	LIVE LAMB (FEMALE)	FD



CLAIMS

1. A method of reconstituting an animal embryo, the method comprising transferring the nucleus of a quiescent donor cell into a suitable recipient cell.
2. A method as claimed in claim 1, in which the animal is an ungulate species.
3. A method as claimed in claim 2, in which the animal is a cow or bull, pig, goat, sheep, camel or water buffalo.
4. A method as claimed in any one of claims 1 to 3, in which the nucleus of the donor cell is genetically modified.
5. A method as claimed in claim 4, in which the donor cell is genetically modified prior to embryo reconstitution.
6. A method as claimed in claim 5, in which the recipient cell is an oocyte.
7. A method as claimed in claim 6, in which the oocyte is enucleate.
8. A method as claimed in any one of claims 1 to 7, in which the donor cell is an adult somatic cell.
9. A method as claimed in any one of claims 1 to 7, in which the donor cell is an embryonic somatic cell.
10. A method as claimed in any one of claims 1 to 7, in which the donor cell is a foetal somatic cell.

11. A method for preparing an animal, the method comprising:

- 5
- (a) reconstituting an animal embryo as claimed in any preceding claim;
  - (b) causing an animal to develop to term from the embryo; and
  - (c) optionally, breeding from the animal so formed.

10 12. A method as claimed in claim 11, in which the animal embryo is further manipulated prior to full development of the embryo.

15 13. A method as claimed in claim 12, wherein more than one animal is derived from the embryo.

20 14. A reconstituted animal embryo prepared by transferring the nucleus of a quiescent donor cell into a suitable recipient cell.

15. A reconstituted embryo as claimed in claim 14, in which the donor cell is an adult somatic cell.

25 16. A reconstituted embryo as claimed in claim 14, in which the donor cell is an embryonic somatic cell.

17. A reconstituted embryo as claimed in claim 14, in which the donor cell is a foetal somatic cell.

30 18. An animal prepared by a method as claimed in any one of claims 1 to 13.

19. An animal developed from a reconstituted animal embryo as claimed in any one of claims 14 to 17.

ABSTRACTQUIESCENT CELL POPULATIONS FOR NUCLEAR TRANSFER

5 A method of reconstituting an animal embryo involves  
transferring the nucleus from a quiescent donor cell into  
a suitable recipient cell. The donor cell is quiescent,  
in that it is caused to exit from the growth and division  
cycle at G1 and to arrest in the G0 state. Nuclear  
transfer may take place by cell fusion. The reconstituted  
10 embryo may then give rise to one or more animals. The  
invention is useful in the production of transgenic  
animals as well as non-transgenics of high genetic merit.

## **DECLARATION AND POWER OF ATTORNEY FOR APPLICATION UNDER 35 USC 111(a)**

As a below named inventor, I hereby declare that:

My residence, post office and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter claimed and for which a patent is sought on the invention entitled QUIESCENT CELL POPULATIONS FOR NUCLEAR TRANSFER, the specification of which [ ] is attached hereto [X] was filed on **February 19, 1997** as Application Serial No. **08/802,282** and was amended on (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known to me to be material to patentability in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 or Section 365 of any foreign and/or International (PCT) application(s) for patent or inventor's certificate listed below, and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**Prior Foreign Application(s):**

<u>Number</u>	<u>Country</u>	<u>Day/Month/Year filed</u>
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PCT/GB96/02099	Great Britain	8/30/96
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GB 9517780.4	Great Britain	8/31/95
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**Priority Claimed**

<u>Yes</u>	<u>No</u>
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X	
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X	
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I hereby claim the benefit under Title 35, United States Code, Section 120 or 365 of any United States and/or International (PCT) application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or International (PCT) filing date of this application:

**Prior U. S. Application(s):**

<u>Serial No.</u>	<u>Filing Date</u>
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PCT/GB96/02099	8/30/96
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**Status: Patented, Pending, Abandoned**

Pending
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and all future correspondence should be addressed to them.

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